

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of

Vladimir Neyman, et al.

Date: March 28, 2001

Serial No.: 09/603,389

Group Art Unit: 2766

Filed: June 23, 2000

For: COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM RECEIVED

Asst. Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please issue a corrected filing receipt for the above application. There are several errors on the original filing receipt, as follows:

- 1) Misspelling in third applicant's residence: please change "South Plainfield" to --South Plainfield—
- 2) Misspelling in fifth applicant's last name: please change "Edward Horowka" to --Edward Howorka—
- 3) Misspelling and missing initial in sixth applicant's name: please change "Andrew Forway" to --Andrew P. Foray—
- 4) Missing initial in seventh applicant's name: please change "Michael Merold" to --Michael S. Merold--.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231: on March 28, 2001:

William O. Gray
Name of applicant, assignee or
Registered Representative

Signature March 28, 2001

Date of Signature

Respectfully submitted,

William O. Gray, III

Registration No. 30,944

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Bib Data Sheet

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| SERIAL NUMBER 09/603,389 | FILING DATE 06/23/2000 RULE | C | CLASS 705 | GROUP ART UNIT 2766 | | | ATTORNEY DOCKET NO. P/3331-141 | |
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| Steven lacched Neena Jain, So James Shu, Ea Edward R. How Andrew P. Fora Michael S. Mere ** CONTINUING DAT ** FOREIGN APPLICA | an, West Orange, NJ; , Lincoln Park, NJ; uth Plainfield, NJ; st Hanover, NJ; rorka, Morris Plains, NJ; y, Wayne, NJ; old, Sparta, NJ; A ************************************ | *** | Ĕ D | | | | | |
| Foreign Priority claimed | | | STATE OR COUNTRY NJ | DRAWING CLA | | TOTA CLAI 34 | MS | INDEPENDENT CLAIMS 5 |
| ADDRESS 2352 | | | | | | | | |
| ΠΤLE Compound order handling in an anonymous trading system | | | | | | | | |
| | | | | ☐ All Fees | | | | |
| | | | | | 1.16 Fees (Filing) | | | |
| FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUNT | | | | | 1.17 Fees (Processing Ext. of time) | | | |
| 1228 No | No for following: | | | ☐ 1.18 Fees (Issue) | | | | |
| | | | | | Other | | | |
| | | | | | ☐ Credit | | | |